

# Sparse Ramsey Theory

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## Introduction

It is well known that, whenever the edges of the complete graph  $K_6$  on 6 vertices are 2-coloured, there is always a monochromatic copy of  $K_3$ . Indeed, in general, for any  $k$  there is an  $n$  such that, if  $E(K_n)$  is  $k$ -coloured, there exists a monochromatic copy of  $K_3$ .

We illustrate these two statements symbolically as follows:

$$\begin{aligned} K_6 &\longrightarrow K_3 && \text{(to be read “}K_6 \text{ is Ramsey for }K_3\text{”.)} \\ K_n &\xrightarrow{k} K_3 && \text{(to be read “}K_n \text{ is }k\text{-Ramsey for }K_3\text{”.)} \end{aligned}$$

Now, we have a natural question to ask: What does  $K_6 \longrightarrow K_3$  tell us about the copies of  $K_3$  in  $K_6$ : in what sense are they dense? In what sense do they fit together well?

For example: **Question:** if a graph  $G$  is such that  $G \longrightarrow K_3$ , must  $G$  contain  $K_6$ ?

**Answer:** The answer to this is “no”. There is an elementary construction: Let  $C$  be  $K_9 - 9\text{-cycle}$  – i.e.:

$$C = K_9 - y_1y_2 - y_2y_3 - \cdots - y_9y_1$$

Form  $G$  by adding a new point  $x$ , joined to every vertex in  $C$ . Clearly  $G$  does not contain  $K_6$  (or else  $C$  would have to contain  $K_5$  – it doesn’t.)

Now, given any 2-colouring of  $E(G)$ , we have at least five edges of the same colour emanating from  $x$ . Call them  $xy_{i_1}, xy_{i_2}, xy_{i_3}, xy_{i_4}, xy_{i_5}$ . Without loss of generality, we may assume  $i_1 < \cdots < i_5$ , and that  $y_{i_1}$  and  $y_{i_5}$  are adjacent in  $C$ .

Consider the triangle  $y_{i_1}, y_{i_3}, y_{i_5}$ . If any edge is red, we have formed a red triangle with  $x$ . If all the edges are blue, we have formed a blue triangle. Either way, we're finished.  $\square$

Now we can start being a bit more ambitious – we can ask for a graph  $G \not\supseteq K_5$  with  $G \rightarrow K_3$ ? Such a  $G$  does actually exist, although the construction is a bit harder.

Even more daringly, is there a  $G \not\supseteq K_4$  with  $G \rightarrow K_3$ ? This question turns out to be much harder. Folkman found a construction for 2-colourings only. Finally Nešetřil and Rödl solved the problem for the general case of  $k$ -colourings:

For all  $k$ , there exists a graph  $G \not\supseteq K_4$  such that  $G \xrightarrow{k} K_3$ .

This is a mildly surprising statement, since the condition  $G \not\supseteq K_4$  says that the copies of  $K_3$  in  $G$  are not dense and closely linked, whereas the condition that  $G \xrightarrow{k} K_3$  says just the opposite.

In Chapter 1, “Building Sparse Systems”, we’ll prove the above result of Nešetřil and Rödl, and much, much more. We’ll first introduce the key idea of *amalgamation*.

In Chapter 2, “Sparse Arithmetic Structures”, we’ll be trying to strengthen Van der Waerden’s theorem in a similar way.

For example, we know that there exists an  $n$  such that, whenever  $[n] = \{1, \dots, n\}$  is 2-coloured, there exists a monochromatic arithmetic progression of length 3. Can we replace  $[n]$  with a ‘sparser’ set: for example, a set containing no arithmetic progression of length 4, and get the same result for it?

## 1 Building Sparse Systems

### 1.1 Graphs with high girth and chromatic number

Recall that the girth  $g(G)$  of a graph  $G$  is the length of a shortest cycle in the graph, and that the chromatic number  $\chi(G)$  is the least number of colours required to colour the vertices so no two adjacent vertices share the same colour.

Erdős proved that, for all  $g$  and  $k$ , there is a graph  $G$  with  $g(G) > g$  and  $\chi(G) > k$ . Of course making either  $g(G)$  or  $\chi(G)$  large on their own is

easy: we can take  $C_n$  or  $K_n$  respectively, for large  $n$ . Making them both simultaneously large is much harder.

Erdős used a proof involving random graphs. Later on, Lovász gave a constructive proof. However, we will present a later proof yet: that of Nešetřil and Rödl, since it serves as an excellent introduction to the idea of amalgamation.

We'll start by solving an easier problem: that of finding a triangle-free graph  $G$  with a large chromatic number. In what follows, we shall use  $\Delta$ -free as an abbreviation for "triangle-free".

This is just the  $g = 3$  case of the problem above: we seek  $G$  with  $g(G) > 3$  (i.e.,  $G$  is triangle-free) such that  $\chi(G) > k$  for given  $k$ . So we need a triangle-free graph which has a monochromatic edge whenever it is  $k$ -coloured.

Here's our first idea: for triangle-free graphs, forcing *edges* to be monochromatic could be hard; forcing *independent sets* (i.e., sets which span no edges) to be monochromatic should be easier.

So, as a start, can we find a  $\Delta$ -free graph  $G_0$ , containing certain named independent sets  $V_0, \dots, V_r$  such that any  $k$ -colouring of  $V(G)$  in which each of  $V_0, \dots, V_r$  are monochromatic must contain a monochromatic edge?

Of course we can. Some two  $V_i$  must have the same colour if  $r = k$ . Thus we ensure that all  $V_i, V_j$  are connected by an edge. Furthermore, we choose these edges disjointly – this, too, is clearly possible.

So we are in possession of a  $(k + 1)$ -partite,  $\Delta$ -free  $G_0$ , such that if  $G_0$  is  $k$ -coloured with each  $V_i$  monochromatic then there is a monochromatic edge.

From here on, we regard all  $(k + 1)$ -partite graphs as coming with a fixed partition; all the standard graph-theoretic ideas we use shall be required to respect this structure. For example, if  $G$  is  $(k + 1)$ -partite on classes  $(V_0, \dots, V_k)$  and  $H$  is  $(k + 1)$ -partite on classes  $(W_0, \dots, W_k)$ , then " **$G$  contains  $H$** " means  $E(H) \subset E(G)$  and  $W_i \subset V_i$  for all  $i$ .

As another example, the **union**  $G \cup H$  has edge set  $E(G) \cup E(H)$  and partition  $(V_0 \cup W_0, \dots, V_k \cup W_k)$ .

Our dream is now the following: that we should be able to find a partite (i.e.  $k$ -partite) graph  $G$  that is  $\Delta$ -free, such that any  $k$ -colouring of the vertices gives a copy of  $G_0$  with each partition class monochromatic.

Let  $G$  be a partite graph, and let  $0 \leq i \leq n$ . We define the **amalgamation** of  $G$  on  $V_i$ , written  $A_i(G)$ , as follows:

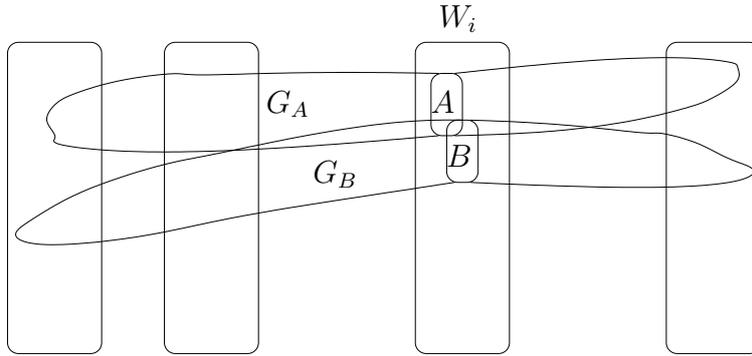


Figure 1: Amalgamation – showing two sets  $A$  and  $B$  and their corresponding disjoint copies  $G_A$  and  $G_B$  of  $G$ .

Fix a set  $W_i$  such that  $|W_i| = k|V_i|$ . For each set  $A \subset W_i$  with  $|A| = |V_i|$ , let  $G_A$  be a copy of  $G$  with  $i$ -th vertex class  $V_i(G_A) = A$ , and all other classes disjoint. Then we put

$$A_i(G) = \bigcup_{\substack{A \subset W_i \\ |A|=|V_i|}} G_A.$$

**Proposition 1** *Let  $G$  be partite, and  $0 \leq i \leq k$ . Then:*

1. *Whenever  $A_i(G)$  is  $k$ -coloured, there exists a copy of  $G$  whose  $i$ -th class is monochromatic.*
2. *If  $G$  is  $\Delta$ -free, then  $A_i(G)$  is  $\Delta$ -free.*

**Proof:**

1. We have  $W_i$ , the  $i$ -th vertex class of  $A_i(G)$ ,  $k$ -coloured. So there exists a monochromatic  $A \subset W_i$  with  $|A| = |V_i|$ . Then  $G_A$  will do. ✓
2. If  $A_i(G) \supset \Delta$ , where  $\Delta$  is some triangle, then the edges  $E(\Delta)$  are not contained in just one  $G_A$ , because  $G_A$  is  $\Delta$ -free.

But then at least two vertices are incident with edges from more than one  $G_A$ , and hence both belong to  $W_i$ . This is a contradiction, since  $W_i$  is independent. ✓ □

Now, by repeating this we can get:

**Theorem 2** For any  $k$ , there is a graph  $G$  which is  $\Delta$ -free and satisfies  $\chi(G) > k$ .

**Proof:** Set  $G = A_k(A_{k-1}(\cdots A_0(G_0)\cdots))$ . Then  $G$  is  $\Delta$ -free, and whenever  $G$  is  $k$ -coloured, there exists a copy of  $G_0$  with each class monochromatic. But, by definition of  $G_0$ , this means that  $\chi(G) > k$ .  $\square$

**Remarks:**

1. The above method is called **amalgamation**. Sometimes the idea of working only with  $(k + 1)$ -partite graphs is called the **partite construction**, and Proposition 1 is called the **partite lemma**.
2. In finding our  $G$ , we have used the fact that there exists a graph with chromatic number greater than  $k$ : we were dependent on  $K_{k+1}$  having this property when we chose the columns and edges of  $G_0$ .

Now we conquer the problem of graphs of large girth and chromatic number. What if we want girth greater than 4?  $G_0$  is fine: it contains no cycles whatsoever.

But  $A_0(G_0)$  can contain adjacent edges: then  $A_1(A_0(G_0))$  contains 4-cycles.

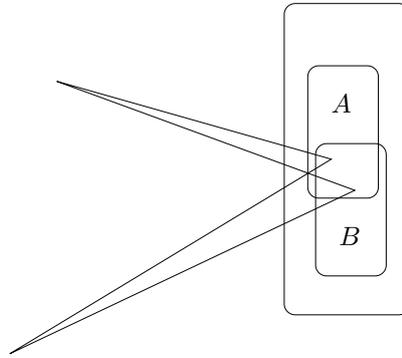


Figure 2: A 4-cycle in  $A_1(A_0(G))$ .

We get 4-cycles exactly when we have  $A, B \subset W_i$  such that  $|A| = |B| = |V_i|$ , with  $|A \cap B| \geq 2$ .

Here's the solution: when we are forming  $A_i(G)$ , we mustn't take the whole of

$$\bigcup_{\substack{A \subset W_i \\ |A|=|V_i|}} G_A,$$

but only some of them:

$$\bigcup_{A \in \mathcal{A}} G_A$$

for some cleverly chosen subset  $\mathcal{A} \subset [W_i]^{(V_i)}$ .

So our collection  $\mathcal{A}$  of subsets of  $W_i$  of size  $V_i$  must satisfy:

1. Whenever  $W_i$  is  $k$ -coloured, there must exist a monochromatic  $A \in \mathcal{A}$ .
2. If we have  $A, B \in \mathcal{A}$  such that  $A \neq B$ , then we have  $|A \cap B| \leq 1$ .

It is not clear if such an  $\mathcal{A}$  even exists.

How can we forbid bigger cycles: 6-cycles, for example?

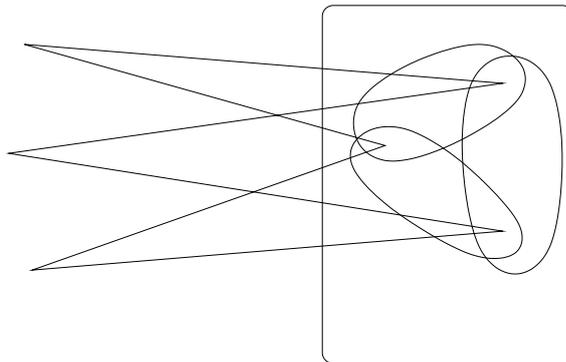


Figure 3: A 6-cycle – to be prevented.

We say that an  $n$ -**cycle** in a hypergraph  $\mathcal{A}$  is a sequence  $A_1, x_1, \dots, A_n, x_n$  where  $A_1, \dots, A_n \in \mathcal{A}$  and  $x_1, \dots, x_n \in V(\mathcal{A})$ , with all  $A_1, \dots, A_n$  and all  $x_1, \dots, x_n$  distinct, and satisfying  $x_i \in A_i \cap A_{i+1}$  for all  $i$  (where  $A_{n+1}$  means  $A_1$ ).

So a 2-cycle is two sets  $A, B$  with  $|A \cap B| \geq 2$ . A 3-cycle is three sets  $A, B, C$  as in figure 4.

**Note:** If  $\mathcal{A}$  is a hypergraph of 2-sets (i.e. a graph), then this reduces to the usual definition of cycle.

Equivalently: an  $n$ -cycle in  $\mathcal{A}$  corresponds to a  $2n$ -cycle in the incidence graph of  $\mathcal{A}$ . (This is the bipartite graph on vertex classes  $\mathcal{A}$  and  $V(\mathcal{A})$  with  $A$  joined to  $x$  if  $x \in A$ .) Parenthetically, we should also note that it does *not* correspond to an  $n$ -cycle in the intersection graph (the graph with vertices  $\mathcal{A}$ , with  $A$  joined to  $B$  if  $A \cap B \neq \emptyset$ ).

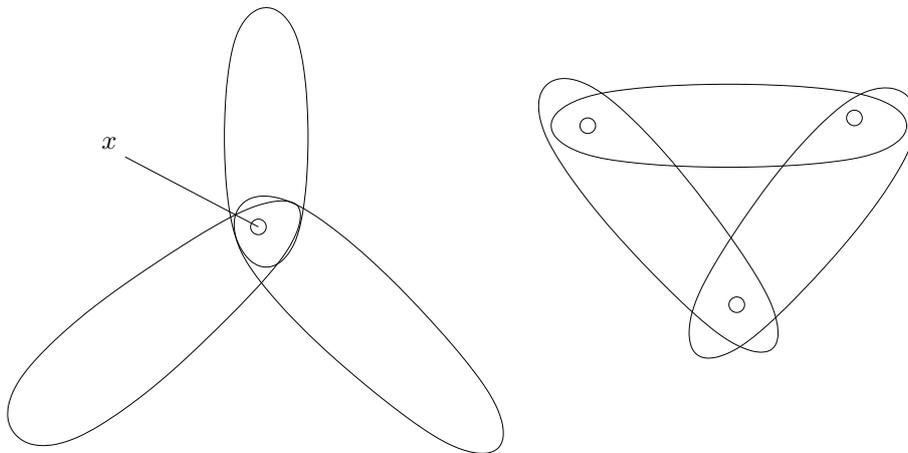


Figure 4: The left-hand set system isn't a cycle (where each pair of sets have intersection  $\{x\}$ ). The right-hand system is a cycle.

The **girth** of  $\mathcal{A}$  is the length of a shortest cycle. It looks as if, to make amalgamation preserve girth at least  $g$ , we'd need  $\mathcal{A}$  of girth at least  $g/2$ , and such that whenever  $W_i$  is  $k$ -coloured, we'd get a monochromatic  $A \in \mathcal{A}$ .

**We need:** For all  $r, g, k$ , there exists an  $r$ -graph (i.e., a family of  $r$ -sets) with girth at least  $g$ , and chromatic number  $\chi(\mathcal{A}) > k$  (this means whenever  $V(\mathcal{A})$  is  $k$ -coloured, there exists a monochromatic  $A \in \mathcal{A}$ ).

There is promising news: for  $r = 2$ , this is what we're trying to prove. And to prove it for girth  $g$ , it looks like we only need it for girth  $g/2$ , thus paving the way for an induction proof.

But there is also bad news: such an  $\mathcal{A}$  looks much harder to construct than for just  $r = 2$ . Indeed, take an  $r$ -graph  $\mathcal{A}$  with  $g(\mathcal{A}) > g$  and  $\chi(\mathcal{A}) > k$ . For each  $A \in \mathcal{A}$ , select some 2-set  $l_A \subset A$ . Then the graph  $G$  with edges  $\{l_A : A \in \mathcal{A}\}$  has  $g(G) > g$  and  $\chi(G) > k$ . This is terrifying: *however* we choose these  $l_A$  we get a hard-to-find graph (i.e. one with large girth and chromatic number)!

Say that an  $r$ -graph  $\mathcal{A}$  is  $t$ -partite on vertex classes  $V_1, \dots, V_t$  if, for all  $A \in \mathcal{A}$ , and for all  $i$ , we have  $|A \cap V_i| \leq 1$ .

Given  $r, g, k$ , can we find, for some  $t$ , a  $t$ -partite  $r$ -graph  $\mathcal{A}_0$  on classes  $V_1, \dots, V_t$  such that whenever  $V(\mathcal{A})$  is  $k$ -coloured with each  $V_i$  monochromatic, we have a monochromatic  $A \in \mathcal{A}$ , and such that  $g(\mathcal{A}) > g$ ?

Yes, we can: let  $t = kr$ , and for each  $r$ -set  $k \subset [1, \dots, t]$  choose disjointly an

$r$ -set  $A_r$ . Make sure  $A_r$  contains 1 point of each  $V_i$  for  $i \in R$ . ✓

Let  $\mathcal{A}$  be a  $t$ -partite  $r$ -graph on vertex classes  $V_1, \dots, V_t$ , and let  $\mathcal{B}$  be a  $|V_i|$ -graph. We define the **amalgamation** of  $\mathcal{A}$  over  $\mathcal{B}$ , written  $\mathcal{A} \star \mathcal{B}$ , or  $\mathcal{A} \star_i \mathcal{B}$ , as follows: for each  $B \in \mathcal{B}$ , let  $\mathcal{A}_B$  be a copy of  $\mathcal{A}$  with  $i$ -th class  $B$ , and disjoint in all the other classes. We then set

$$\mathcal{A} \star_i \mathcal{B} = \bigcup_{B \in \mathcal{B}} \mathcal{A}_B.$$

**Proposition 3** *With  $\mathcal{A}$  and  $\mathcal{B}$  as above:*

1. If  $\chi(\mathcal{B}) > k$  then whenever  $\mathcal{A} \star_i \mathcal{B}$  is  $k$ -coloured then there is a copy of  $\mathcal{A}$  in  $\mathcal{A} \star_i \mathcal{B}$  with the  $i$ -th class monochromatic.
2. If  $g(\mathcal{A}) > g$  and  $g(\mathcal{B}) > g/2$ , then  $g(\mathcal{A} \star \mathcal{B}) > g$ .

**Proof:**

1. When  $\mathcal{A} \star \mathcal{B}$  is  $k$ -coloured, there is a  $B \in \mathcal{B}$  which is monochromatic, because  $\chi(\mathcal{B}) > k$ . Then  $\mathcal{A}_B$  will do. ✓
2. Suppose  $R_1, x_1, \dots, R_g, x_g$  is a  $g$ -cycle in  $\mathcal{A} \star \mathcal{B}$ . Then no two consecutive  $x_i$  can belong to  $W_i$  (the  $i$ -th class of  $\mathcal{A} \star_i \mathcal{B}$ ) as  $\mathcal{A} \star_i \mathcal{B}$  is  $t$ -partite.

But the  $x_j$  belonging to  $W_i$  induce a cycle in  $\mathcal{B}$ : if we have  $a < b$  with  $x_a, x_b \in W_i$  but  $x_{a+1}, \dots, x_{b-1} \notin W_i$ , then  $x_a, x_b \in \mathcal{A}_B$  for some  $B$  (by construction). This is a contradiction unless no more than one of the  $x_j$  are in  $W_i$ . In this case, the  $g$ -cycle lives in some  $\mathcal{A}_B$ : a contradiction. ✓ □

At last we can prove the result we seek:

**Theorem 4** *For all  $r, k, g$ , there is an  $r$ -graph  $\mathcal{A}$  with  $g(\mathcal{A}) > g$  and  $\chi(\mathcal{A}) > k$ . In particular, there exist graphs with girth more than  $g$  and chromatic number more than  $k$ .*

**Proof:** Fix any  $k$ . The proof is by induction on  $g$  (for all  $r$ ).

The  $g = 1$  case is easy. ✓

Given  $r$  and  $g$ , choose some  $t$  and a  $t$ -partite  $\mathcal{A}_0$  as before. Let  $\mathcal{A}_0$  have 1st vertex class  $V_1(\mathcal{A}_0)$ , and let  $\mathcal{B}_1$  be a  $V_1(\mathcal{A}_0)$ -graph with  $g(\mathcal{B}_1) > g/2$  and  $\chi(\mathcal{B}_1) > k$ .

Set  $\mathcal{A}_1 = \mathcal{A}_0 \star_1 \mathcal{B}_1$ . Now choose  $\mathcal{B}_2$  a  $|V_2(\mathcal{A}_1)|$ -graph with  $g(\mathcal{B}_2) > g/2$  and  $\chi(\mathcal{B}_2) > k$ . Then set  $\mathcal{A}_2 = \mathcal{A}_1 \star_2 \mathcal{B}_2$ . Keep going, until we obtain

$$\mathcal{A} = (\dots((\mathcal{A}_0 \star_1 \mathcal{B}_1) \star_2 \mathcal{B}_2) \dots).$$

Then  $g(\mathcal{A}) > g$  (by Proposition 3), and whenever  $V(\mathcal{A})$  is  $k$ -coloured, there is a copy of  $\mathcal{A}_0$  with each vertex class monochromatic. Now we're done, by the very definition of  $\mathcal{A}_0$ .  $\checkmark$   $\square$

**Remark:** The other known constructions for large girth and chromatic number (for graphs), by Lovász and Kriz, also go outside the world of graphs (e.g. by using hypergraphs or similar).

## 1.2 The Restricted Ramsey Theorem

We now want a  $G$ , not containing  $K_4$ , such that whenever  $E(G)$  is  $k$ -coloured, there exists a monochromatic triangle.

As a first step: can we find, for some  $t$ , a  $t$ -partite graph  $G_0$  on vertex classes  $V_1, \dots, V_t$  such that  $G_0 \not\supseteq K_4$ , with the property that in any  $k$ -colouring of  $E(G_0)$  with each  $G_0[V_i, V_j] = G_0(V_i \cup V_j)$  (i.e. the induced subgraph spanned by  $V_i \cup V_j$ ) monochromatic, we have a monochromatic triangle?

Yes: let  $t = R_k(3)$  (i.e.  $t$  is such that whenever  $E(K_t)$  is  $k$ -coloured, there is a monochromatic triangle). For each  $l, m, n$  take a disjoint triangle on classes  $V_l, V_m, V_n$  – see figure 5.  $\checkmark$

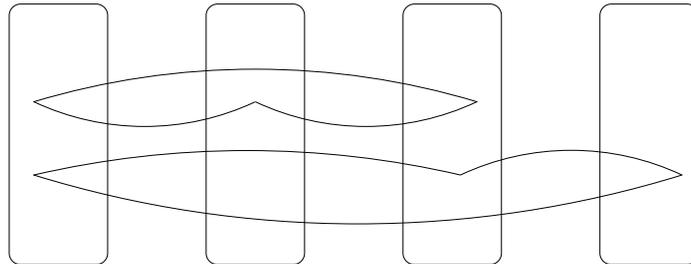


Figure 5: The construction of  $G_0$ .

How do we do amalgamation on this? We will be needing a bipartite graph  $B$  such that whenever the edges of  $B$  are 2-coloured, there exists a monochromatic copy of  $G[V_i, V_j]$ . A problem stems from needing to have no copies of  $K_4$  in  $B$ : if we were unlucky, we could create a  $K_4$  from different copies of  $G[V_i, V_j]$ .

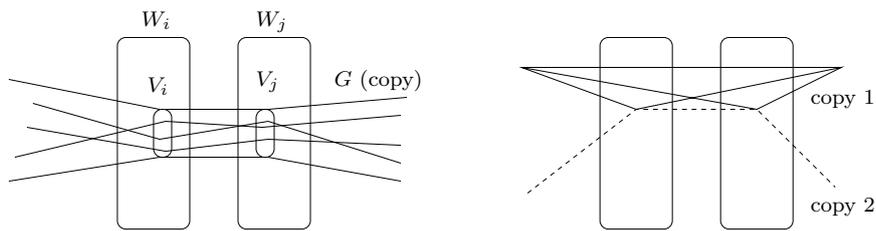


Figure 6: Left: Amalgamation for Restricted Ramsey. Right: A copy of  $K_4$  using two copies of  $G[V_i, V_j]$ .

So, when  $E(B)$  is  $k$ -coloured, we want there to exist a monochromatic *induced* copy of  $G[V_i, V_j]$ . (Recall that a subgraph  $H \subset G$  is **induced** if  $H$  contains all the edges that  $G$  contains between any two of its vertices.)

Thus, we'd like, for any bipartite graph  $A$ , a bipartite graph  $B$  such that when  $E(B)$  is  $k$ -coloured, we have a monochromatic induced copy of  $A$ . Such a result is a *bipartite induced* Ramsey theorem.

The appendix contains a digression on the Hales-Jewett theorem: a key result from Ramsey theory. Hales-Jewett gives us an instant proof of what we want.

**Theorem 5 (Bipartite Induced Ramsey Theorem)** *Let  $A$  be a bipartite graph. Then there exists a bipartite  $B$  such that whenever  $B$  is  $k$ -coloured, there exists a monochromatic induced copy of  $A$ .*

**Proof:** Let  $A$  have edge set  $E$ , and vertex classes  $X$  and  $Y$ . For some  $n$  large, let  $B$  have edge set  $E^n$ , and vertex classes  $X^n, Y^n$ . This works as follows: edge  $(e_1, \dots, e_n)$  joins  $(x_1, \dots, x_n)$  to  $(y_1, \dots, y_n)$  if  $e_i$  joins  $x_i$  to  $y_i$  for all  $i$ .

When  $E^n = E(B)$  is  $k$ -coloured, by Hales-Jewett there exists a monochromatic line  $L$ , say:

$$L = \{(l_1, \dots, l_n) : l_i = f_i(\forall i \notin I), l_i = l_j(\forall i, j \in I)\}$$

for some non-empty  $I \subset [n]$  and some  $f_i$  for  $i \notin I$ .

Then  $L$  is the edge-set of a copy of  $A$  – indeed, we take as our copies of  $X$  and  $Y$  the sets:

$$\begin{aligned} X' &= \{(x_1, \dots, x_n) : x_i = x(f_i)(\forall i \notin I), x_i = x_j(\forall i, j \in I)\} \\ Y' &= \{(y_1, \dots, y_n) : y_i = y(f_i)(\forall i \notin I), y_i = y_j(\forall i, j \in I)\} \end{aligned}$$

(where the edge  $e$  is adjacent to  $x(e) \in X$  and  $y(e) \in Y$ .)

Also, this copy of  $A$  is induced. For suppose  $(e_1, \dots, e_n)$  connects  $(x_1, \dots, x_n) \in X'$  to  $(y_1, \dots, y_n) \in Y'$ . Then for all  $i \notin I$ ,  $x_i = x(f_i)$  and  $y_i = y(f_i)$ , and  $e_i$  joins  $x_i$  to  $y_i$ . So  $e_i = f_i$ . Furthermore, for all  $i, j \in I$ ,  $x_i = x_j$  and  $y_i = y_j$  so  $e_i = e_j$ . Thus  $(e_1, \dots, e_n) \in L$ .  $\square$

**Remarks:**

1. Hales-Jewett is a natural thing to use, because the lines in  $A^d$  don't interfere with one another.
2. One can also prove Theorem 5 directly (i.e. without Hales-Jewett), by using Ramsey's theorem. The proof is, however, longer and quite a bit harder.

Now we assault our target theorem: we take  $G$  a  $t$ -partite graph, with vertex classes  $V_1, \dots, V_t$ ,  $B$  a bipartite graph, and  $\mathcal{A}$  a collection of copies of  $G[V_i, V_j]$  inside  $B$ .

We define the **amalgamation** of  $G$  over  $\mathcal{A}$ , written  $G \star \mathcal{A}$  (or  $G \star_{i,j} \mathcal{A}$ ) as follows: for each  $A \in \mathcal{A}$  take a copy  $G_A$  of  $G$  with  $G_A[i, j] = A$  (where  $G_A[i, j]$  is the subgraph of  $G_A$  spanned by classes  $i$  and  $j$ ). Otherwise (i.e. on classes  $\neq i, j$ ) the  $G_A$  are disjoint.

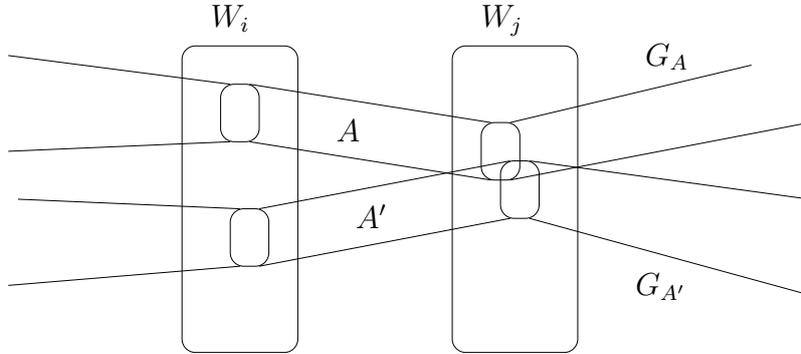


Figure 7: Amalgamation for Sparse Ramsey.

Then set  $G \star \mathcal{A}$  to be  $\bigcup_{A \in \mathcal{A}} G_A$ .

**Lemma 6** *Let  $G$  be a  $k$ -partite graph. Then:*

1. *If  $\chi(\mathcal{A}) > k$  (i.e. whenever  $E(\mathcal{A})$  is  $k$ -coloured, there exists a monochromatic  $A \in \mathcal{A}$ ), then whenever  $E(G \star \mathcal{A})$  is  $k$ -coloured, there exists a*

copy  $G_A$  of  $G$  with  $G_A[i, j]$  monochromatic.

2. If each  $A \in \mathcal{A}$  is an induced subgraph of  $B$ , and  $G \not\rightarrow K_4$ , then  $G \star \mathcal{A} \not\rightarrow K_4$ .

**Proof:**

1. Obvious.  $\checkmark$
2. Suppose we have some  $K_4 \subset G \star \mathcal{A}$ . Say  $V(K_4) = \{a, b, c, d\}$ , where  $a$  is in the  $i$ -th class and  $b$  is in the  $j$ -th. (If it didn't meet  $V_i$  and  $V_j$ , then we'd have  $K_4 \subset G_A$  for some  $A \in \mathcal{A}$ : a contradiction).

Since the  $G_A$  are disjoint for all  $A \in \mathcal{A}$  outside the  $i$ -th and  $j$ -th classes, we must have  $K_4 - ab \subset G_A$ , for some  $A \in \mathcal{A}$ . Then  $ab \notin G_A$  (or else  $G_A \supset K_4$ ). So  $ab \in G_{A'}$  for some  $A' \in \mathcal{A}$ . But this contradicts  $A$  being an induced subgraph of  $B$ .  $\checkmark$   $\square$

Now we are ready for the main result:

**Theorem 7** For all  $k$ , there is a  $G$  such that  $G \xrightarrow{k} K_3$  but  $G \not\rightarrow K_4$ .

**Proof:** Start with  $G_0$   $t$ -partite, for some  $t$ . Let it have vertex classes  $v_1, \dots, V_t$  such that  $G_0 \not\rightarrow K_4$ , and whenever  $E(G_0)$  is  $k$ -coloured with  $G[V_i, V_j]$  monochromatic for all  $i$  and  $j$ , there exists a monochromatic triangle.

Choose  $i < j$  and choose a bipartite  $B$  such that whenever  $E(B)$  is  $k$ -coloured, there exists a monochromatic induced  $G_0[V_i, V_j]$ . Let  $\mathcal{A}$  consist of all those induced copies of  $G_0[V_i, V_j]$  and form  $G_1 = G_0 \star_{i,j} \mathcal{A}$ .

Repeat  $\binom{t}{2}$  times – once for each pair  $(i, j)$ .  $\square$

**Corollary 8** For all  $k$  and  $s$ , there is a  $G$  with  $G \xrightarrow{k} K_s$  but  $G \not\rightarrow K_{s+1}$ .

**Proof:** Take  $G_0$  to be a disjoint union of copies of  $K_s$  instead of copies of  $K_3$ , with  $t$  being not  $R_k(3)$  but  $R_k(s)$ . Then the proof is as above.  $\square$

Define the **clique number**  $\text{Cl}(H)$  to be the largest  $s$  with  $K_s \subset H$ .

**Corollary 9** Let  $H$  be a graph. For all  $k$ , there is a graph  $G$  with  $G \xrightarrow{k} H$  but  $\text{Cl}(G) = \text{Cl}(H)$ .

**Proof:** Let  $H$  have  $n$  points and  $\text{Cl}(H) = s$ . Take  $G_0$  to be a disjoint union of copies of  $H$  (with  $t$  being  $R_k(n)$ ). Then the proof is as above (if  $G \not\supseteq K_{s+1}$  then  $G \star \mathcal{A} \not\supseteq K_{s+1}$ ).  $\square$

**Remark:** Clearly, Corollary 9 is a strengthening of Corollary 8, which in turn is a strengthening of Theorem 7.

Amusingly, Corollary 9 immediately implies the Induced Ramsey Theorem:

**Theorem 10** *For all  $H$  and  $k$  there is a  $G$  such that whenever  $E(G)$  is  $k$ -coloured, there is a monochromatic induced copy of  $H$ .*

**Proof:** Let  $\text{Cl}(H) = s$ . Form  $H'$  as follows: for each  $ab \notin E(H)$  disjointly add a copy of  $K_{s+1}$  - edge to  $H$  at  $a, b$ .

Find  $G$  with  $G \xrightarrow{k} H'$ , such that  $\text{Cl}(G) = \text{Cl}(H') = s$ . We claim such a  $G$  will do.

When  $E(G)$  is  $k$ -coloured, there exists a monochromatic copy of  $H'$ . This contains a copy of  $H$ , which must be induced (or else  $G \supset K_{s+1}$ ).  $\checkmark \quad \square$

**Remarks:**

1. Alternatively, one can prove Theorem 10 by mimicking the proof of Corollary 9.
2. Often, in the literature, “*induced*” is coded as having a *fixed* colouring of a complete graph. For example, a graph  $H$  on  $n$  points would be thought of as a red-blue colouring of  $K_n$ .
3. We could combine Corollary 9 and Theorem 10 to get the following: for all  $H$  and  $k$ , there is a  $G$  such that  $\text{Cl}(G) = \text{Cl}(H)$ , and whenever  $E(G)$  is  $k$ -coloured, there is a monochromatic induced copy of  $H$ .

### 1.3 The Sparse Ramsey Theorem

In general, a *restricted* theorem says that we can find a special subobject of rank  $s$  inside an object containing no subobjects of rank  $s + 1$ . So Theorem 7, Corollary 8 and Corollary 9 are all restricted. So is Theorem 2; it says that when we  $k$ -colour a certain graph we get a monochromatic  $K_2$  despite having no  $K_3$  whatsoever.

From that, we went on to prove that there is a graph  $G$  with  $\chi(G) > k$  and  $g(G) > g$ . This is a *sparse* theorem: it states that we can find a subobject of rank  $s$ , but the subobjects of rank  $s$  have no short cycles.

In general, we have the slogan “*sparse implies restricted, which implies induced*”. We can now ask, what would a sparse version of Theorem 7 say?

We’d want a  $G$  such that  $G \xrightarrow{k} K_3$ , but such that the set  $K_3(G)$  of triangles in  $G$  have no short cycles, viewing the triangles as forming a hypergraph: letting any triangle be represented by the set of its three edges. This is an important point: note that we are *not* representing a triangle by its vertex set. Trivially, there would be short cycles in any such graph if we did.

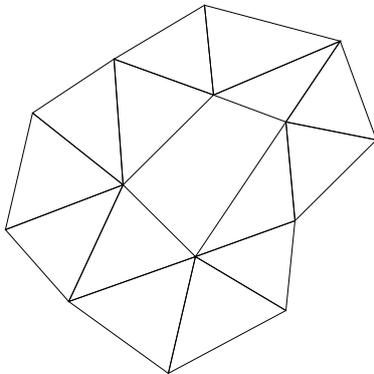


Figure 8: A 14-cycle of triangles.

From our work on amalgamation (from Lemma 6), we have that if the girth of  $K_3(G)$  is more than  $g$ , and the girth of  $\mathcal{A}$  is at least  $g/2$ , then the girth of  $K_3(G \star \mathcal{A})$  is also at least  $g$ . Just as in the second part of Proposition 3, this is because of how we transfer from a triangle in some  $G_A$  to a triangle in some different  $G_{A'}$ : two such triangles cannot share an edge. Indeed, figure 9 shows an impossible configuration on the left (no  $x$  not in  $V(B)$  can belong to  $G_A$  and  $G_{A'}$  since they are disjoint except on  $B$ ); as opposed to a possible configuration on the right.

So we find ourselves needing a sparse version of Hales-Jewett. We want that, for all  $n, k$  and  $g$  there is a  $d$  and a family  $\mathcal{L}$  of lines in  $[n]^d$  such that whenever  $[n]^d$  is  $k$ -coloured there is a monochromatic line  $L \in \mathcal{L}$ , but  $\mathcal{L}$  has no short cycles (as a hypergraph).

This was proved by Spencer; but we will give a simple proof due to Rödl, using ideas that have many other applications. It is an elegant random argument.

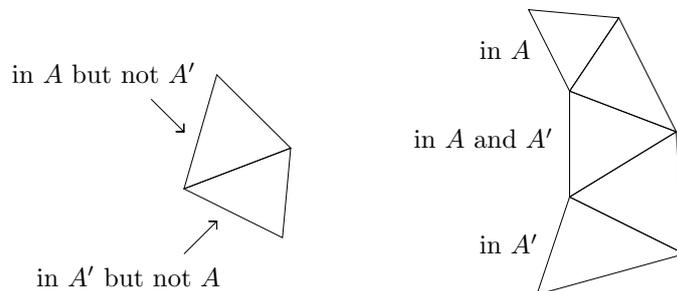


Figure 9: Avoiding cycles of triangles.

We'll do some work towards setting it up now. Fix a  $d_0$  such that whenever  $[n]^{d_0}$  is  $k$ -coloured, there is a monochromatic line.

Consider the set  $\mathcal{K}$  of all lines in  $[n]^d$  with at most  $d_0$  active coordinates, for some  $d_0$ . The number of such lines is

$$|\mathcal{K}| = \sum_{i=1}^{d_0} \binom{d}{i} n^{d-i} \geq \binom{d}{d_0} n^d n^{-d_0}.$$

It can easily be checked that at least  $\alpha = 1/(n+1)^{d_0}$  of all such lines are monochromatic in any  $k$ -colouring of a cube  $[n]^d$  for  $d > d_0$ .

Now we want an upper bound for the number of lines in  $\mathcal{K}$  a point can be on. In fact, any point is on at most  $D$  lines, where

$$D = \sum_{i=1}^{d_0} \binom{d}{i} \leq 2 \binom{d}{d_0} \quad (\text{for } d \text{ large enough}).$$

Now we are ready to state the theorem:

**Theorem 11 (Weak Sparse Hales-Jewett)** *For all  $n, k$  and  $g$ , there is a  $d$  and a family  $\mathcal{L}$  of lines in  $[n]^d$  such that whenever  $[n]^d$  is  $k$ -coloured, there is a monochromatic line  $L \in \mathcal{L}$  but such that  $g(\mathcal{L}) > g$ .*

**Remarks:**

1. This result is a weak sparse version of Hales-Jewett, as our set  $\mathcal{L}$  was not the set of all lines in some  $S \subset [n]^d$ .

2. If you are unsure about random-type arguments, then relax: some much stronger results will be proved by other methods in the next chapter, independently of this proof.

**Proof:** We'll choose lines iteratively for  $\mathcal{L}$ , so that each is monochromatic with respect to many  $k$ -colourings, but so that we keep the girth small.

To ensure that we can do this, we wish to keep a handle on the maximum degree of  $\mathcal{L}$ . Fix some  $d$  large – we'll decide exactly how large later. Choose lines  $L_1, L_2, \dots$  inductively as follows:

Suppose we have chosen  $L_1, \dots, L_r$  such that:

1. There is no point in more than  $c$  of them (for some  $c$  which we'll choose later).
2. We have  $g(\{L_1, \dots, L_r\}) > g$ .

Let  $S = \{\Phi : \Phi \text{ is a } k\text{-colouring of } [n]^d \text{ with no } L_i \text{ monochromatic}\}$ .

If  $S$  is empty, we're finished. Otherwise, we need to ask how many edges there could be to use as  $L_{r+1}$ , without violating properties 1 and 2.

How many lines violate property 1? There are at most  $rn/c$  points of degree  $c$ . So not more than  $rnD/c$  lines are illegal.

How many lines violate property 2? For each  $x$ , there are at most  $(cn)^{g/2}$  points at a distance less than  $g/2$  from  $x$  (measuring distance along the lines  $L_1, \dots, L_r$ ). So fewer than  $n^d(nc)^g$  lines break property 2.

So, what we want is

$$\frac{rnD}{c} \leq \frac{\alpha}{4} \#(\mathcal{K}) \tag{1}$$

$$n^d(nc)^g \leq \frac{\alpha}{4} \#(\mathcal{K}) \tag{2}$$

Then we'd have more than  $1 - \alpha/2$  of all lines in  $\mathcal{K}$  legal to be added. Then some one of these lines is monochromatic for at least  $\alpha/2$  of the  $k$ -colourings in  $S$ . Let this be  $L_{r+1}$ .

So after  $r$  steps, the number of  $k$ -colourings with none of  $L_1, \dots, L_r$  monochromatic is at most

$$k^{n^d} \left(1 - \frac{\alpha}{2}\right)^r$$

(the first term is the number of  $k$ -colourings; the second the proportion that can be left after  $r$  steps).

We may stop when this is less than 1. This happens when

$$\left(1 - \frac{\alpha}{2}\right)^r < \frac{1}{k^{n^d}},$$

i.e. when

$$r = \frac{n^d \log k}{-\log(1 - \alpha/2)} = Cn^d$$

(for some  $C$ ).

To satisfy inequality 1, then, we need

$$\frac{2Cn^d n}{c} \binom{d}{d_0} \leq \frac{\alpha}{4} \binom{d}{d_0} n^d n^{-d_0}, \quad \text{i.e.} \quad \frac{2Cn}{c} \leq \frac{\alpha}{4} n^{-d_0}.$$

This can be done by taking  $c = C'$ , for some large  $C'$  (independent of  $d$ ).

Now, to satisfy inequality 2, we need

$$n^d (nc)^g \leq \frac{\alpha}{4} \binom{d}{d_0} n^d n^{d_0}.$$

This is easy now: we just take  $d$  very large. □

Now we can prove the following:

**Theorem 12 (Sparse Ramsey Theorem)** *For all  $k$  and  $g$ , there is some  $G$  such that  $G \xrightarrow{k} K_3$ , but with  $G$  having no cycle of triangles of length less than  $g$ .*

**Proof:** In the proof of Theorem 10, just replace our use of Hales-Jewett with Theorem 11. □

**Remark:** In terms of the hypergraph  $K_3(G)$  on  $E(G)$ , this says  $\chi(K_3(G)) > k$  and  $g(K_3(G)) > g$ . So this powerfully extends Theorem 4 on hypergraphs with high girth and chromatic number.

The same proof also gives:

**Corollary 13** *For all  $k$ ,  $g$  and  $r$ , there exists a  $G$  such that  $G \xrightarrow{k} K_r$ , but with  $g(K_r(G)) > g$ :  $G$  has no short cycles of copies of  $K_r$ .* □

What if we want to replace  $K_r$  with some general graph  $H$ ? Do we get, for any  $k$  and  $g$ , a graph  $G \xrightarrow{k} H$ , with  $g(H(G)) > g$ ? (Where  $H(G)$  is the hypergraph of copies of  $H$  in  $G$ .)

We need a graph with somewhat delicate properties for the proof of Theorem 12: we needed there to be no  $K_3 \subset B$  (where  $B$  is our bipartite graph). We also needed each  $K_3 - V(B)$  to be connected.

Say that a graph  $H$  is **3-chromatically connected** if for any  $A \subset V(H)$  with  $H[A]$  bipartite, we have  $H - A$  connected and non-empty. Then the same proof as for Theorem 12 gives us:

**Corollary 14** *Let  $H$  be 3-chromatically connected. Then, for all  $k$  and  $g$ , there is some  $G$  such that  $G \xrightarrow{k} H$ , but  $g(H(G)) > g$ .  $\square$*

Unfortunately, one cannot extend this corollary to all graphs  $H$ . For example, suppose the graph  $H$  consists of two disjoint edges. Then  $g(H(G)) > 3$  says exactly that  $G$  contains no set of three disjoint edges. But then it is easy to check that such a  $G$  cannot satisfy  $G \xrightarrow{k} H$  (for  $k$  at least 4).

However, we can get the following, by the same proof as Theorem 12:

**Corollary 15 (Weak sparse Ramsey theorem for general  $H$ )** *For every graph  $H$ , and for all  $k$  and  $g$ , there is a graph  $G$  and some  $\mathcal{H} \subset H(G)$  such that  $\chi(\mathcal{H}) > k$  and  $g(\mathcal{H}) > g$ .  $\square$*

We may now ask what happens when we are asking for no short cycles of edges, i.e. for some large  $g(G)$ . Given  $H$ , if we have some  $G$  with  $G \longrightarrow H$ , then it is clearly true that  $g(G) \geq g(H)$ . Can we have equality? In general we don't know:

**Open problem 1** *Given any  $H$  with  $g(H) < \infty$ , is there a  $G$  such that  $G \longrightarrow H$  but  $g(G) = g(H)$ ?*

## 2 Sparse Arithmetic Structures

### 2.1 The Sparse Hales-Jewett Theorem

We shall start our consideration of arithmetic structures by looking for a restricted form of Van der Waerden's theorem: given some  $k$  and  $m$ , we seek a set  $S \subset \mathbb{N}$  such that:

1. If  $S$  is  $k$ -coloured, there is a monochromatic arithmetic progression of  $m$  terms.

2.  $S$  does not contain an arithmetic progression of  $m + 1$  terms.

We can find such an  $S$  easily – we prove this result exactly as we deduce Van der Waerden from Hales-Jewett (see Appendix). We embed  $[m]^d$  linearly into  $\mathbb{N}$  according to:

$$(x_1, \dots, x_d) \mapsto \sum_i r_i x_i$$

where we choose  $r_1 \ll r_2 \ll \dots \ll r_d$ .

What about “restricted Hales-Jewett”? We want some  $S \subset A^d$  such that:

1. If  $S$  is  $k$ -coloured, then there exists a monochromatic combinatorial line.
2.  $S$  does not contain any 2-dimensional subspaces (such as  $\{(1, x, y, 3, 4, y, y, x) | x, y \in A\}$ ).

We can do this fairly easily too. It comes out directly from the usual focusing argument proof of Hales-Jewett.

Similarly, for any  $r$  we can get an  $S \subset A^d$  such that  $S$  has no  $(r + 1)$ -dimensional subspace, but such that there is a monochromatic  $r$ -dimensional subspace whenever  $S$  is  $k$ -coloured.

**Remark:** For the Graham-Rothschild theorem, where we colour lines instead of points, a restricted version *is* genuinely harder.

So, the most interesting theorem to aim for must be *sparse* Hales-Jewett. For all finite sets  $A$ , and for all  $k$  and  $g$ , we want some  $S \subset A^d$  such that if  $S$  is  $k$ -coloured, there is a monochromatic line, but such that  $S$  has no cycles of lines of length less than  $g$ .

In other words, writing  $L(S)$  for the collection of lines in  $S$ , we want  $\chi(L(S)) > k$  and  $g(L(S)) > g$ .

**Remark:** If this is satisfied, then we can get sparse Van der Waerden by the usual method of mapping a cube linearly into  $\mathbb{N}$ .

As is familiar, we’ll start this one with the case  $g = 3$ : we want a triangle-free version of Hales-Jewett.

We’d expect to start as follows: find, for some  $t$  and  $A$ , sets  $S_1, \dots, S_t \subset A^d$  such that

1. When  $\bigcup S_i$  is  $k$ -coloured, with each  $S_i$  monochromatic, then there is a monochromatic line.

2.  $\bigcup S_i$  has no triangle.

This is easy to find: set  $t = nk$  (where  $A = [n] = \{1, \dots, n\}$ ), and for each  $n$ -set  $\{i_1, \dots, i_n\} \subset [t]$  choose (disjointly) a line in  $A^d$  meeting each of  $S_{i_1}, \dots, S_{i_n}$ .

For  $S \subset A^d$ , a **copy** of  $S$  in  $A^e$  is a set  $S'$  that is the image of  $S$  under a linear embedding  $\pi : A^d \rightarrow A^e$  (i.e.  $\pi$  is the canonical map from  $A^d$  to a canonical  $d$ -dimensional subspace of  $A^e$ ).

For example, for  $S \in A^2$  we could have

$$S' = \{(1, 2, 7, x, x, 5, y, x, y, 3) | (x, y) \in S\}.$$

Thus, a copy of  $A^k$  means the same as a  $k$ -dimensional subspace.

Now, in graphs we can say things like “take a copy with the  $i$ -th class equal to  $A$ , and do it disjointly”. But here, in Hales-Jewett, there’s no method for extending a copy of  $S_i$  to a copy of  $\bigcup_{j=1}^t S_j$  in many ways. For example, if  $S_i$  spans  $A^d$  (i.e.  $A^d$  is the smallest subspace containing  $S_i$ ), then there is a unique copy of  $\bigcup S_j$  with given  $S'_i$ . So there is no way to “keep copies disjoint” or anything like that.

One key idea is this: we can weaken the notion of a “copy of  $\bigcup S_i$ ”, as long as lines are preserved.

The main ingredient is that we will index our family  $\{S_i\}$  now not by  $1, \dots, t$  but by the elements of  $A^d$  – thus our indexing will rely on Hales-Jewett itself!

We fix  $d_0$  such that if  $A^{d_0}$  is  $k$ -coloured, there is a monochromatic line. Then a **picture**  $S$  in  $A^d$  is defined to be a collection of disjoint sets  $S_v \subset A^d$ , one for each  $v \in A^{d_0}$ .

The **underlying set** on  $S$  is  $\bigcup_{v \in A^{d_0}} S_v \subset A^d$ . By an abuse of notation, this is commonly referred to merely as  $S$ .

To start, we find a picture  $S$  in  $A^d$  (for some  $d$ ) such that:

1. For every line  $v_1 < \dots < v_n$  in  $A^{d_0}$ , there is a line  $x_1 < \dots < x_n$  in  $A^d$  with  $x_i \in S_{v_i}$  for all  $i$ .
2.  $\bigcup_{v \in A^{d_0}} S_v$  has no triangles.

**Remarks:**

1. Clearly, such an  $S$  exists. For example: for each line in  $A^{d_0}$  just choose, disjointly, a line in  $A^d$  and assign points accordingly.
2. Thus, if  $\bigcup_{v \in A^{d_0}} S_v$  is  $k$ -coloured, with each  $S_v$  monochromatic, then there is a line  $L$  in  $A^{d_0}$  with  $\bigcup_{v \in L} S_v$  monochromatic (by definition of  $d_0$ ), so by remark 1 there is a monochromatic line in

$$\bigcup_{v \in L} S_v \subset \bigcup_{v \in A^{d_0}} S_v.$$

3. It is often useful to have no  $S_v$  contain a line of  $A^d$ . One easy way of ensuring this is to make each  $S_v$  an antichain. This means that, for all  $x, y$  in  $S_v$ , with  $x \neq y$ , we don't have  $x < y$  (where  $x < y$  means all of the coordinates of  $x$  are less than the corresponding coordinates of  $y$ ).
4. In choosing our starting  $S$ , it is easy to ensure that every  $S_v$  is an antichain.

To define a *copy* of a picture  $S$  in  $A^d$ , we want one motivating example: in  $A^{d_0} \times A^d$ , let  $S'_v = (v, S_v) = \{(v, x) : x \in S_v\}$ . Now,  $\bigcup S'_v$  is *not* a copy of  $\bigcup S_v$ , but each  $S'_v$  is a copy of  $S_v$ .

So we define a **copy** of  $S$  to be a picture  $S'$  in  $A^e$  such that:

1. For all  $v$ ,  $S'_v$  is a copy of  $S_v$ .
2. "Lines coming from lines in  $A^{d_0}$  are preserved": for any line  $v_1, \dots, v_n$  in  $A^{d_0}$ , and points  $x_1, \dots, x_n$  in  $A^d$  that form a line, with  $x_i \in S_{v_i}$  for all  $i$ , then we also have  $x'_1 < \dots < x'_n$  forming a line in  $A^e$  (where  $x'_i$  is the image of  $x_i$  under the embedding  $S_{v_i} \rightarrow S'_{v_i}$ ).

**Examples:**

1. Fix  $u \in A^{d_0}$ , then let  $S'_v = (u, S_v)$ . Here,  $\bigcup S'_v$  actually is a copy of  $\bigcup S_v$ .
2. Let  $S_v = (v, S_v)$ .

**Note:** In both these examples, no new lines are created.

**Remarks:**

1. A copy of a copy of  $S$  is itself a copy of  $S$ .

2. Let  $S$  be a copy of our starting picture  $S^{(0)}$ . Then, if  $\bigcup S_v$  is  $k$ -coloured, with each  $S_v$  monochromatic, then there is a monochromatic line (by definition of  $S^{(0)}$ , and by definition of copy).

Given a picture  $S$  in  $A^d$ , and  $u \in A^{d_0}$ , we define  $S \star S_u$ , the **amalgamation** of  $S$  over  $S_u$ , as follows:

Choose  $e$  such that if  $(S_u)^e$  is  $k$ -coloured, then there is a monochromatic  $S_u$ -line (which is a copy of  $S_u$ , of course) – we do this by Hales-Jewett on the alphabet  $S_u$ .

Naturally, we have  $(S_u)^e \subset A^{de}$ . Let the  $S_u$ -lines in  $(S_u)^e$  be  $S_u^{(1)}, \dots, S_u^{(t)}$ .

For each  $v \in A^{d_0}$ , and each  $1 \leq i \leq t$ , let  $S_v^{(i)}$  denote the corresponding copy of  $S_v$  (i.e., the image of  $S_v$  under the embedding  $\pi : A^e \rightarrow A^{de}$  that mapped  $S_u$  to  $S_u^{(i)}$ ).

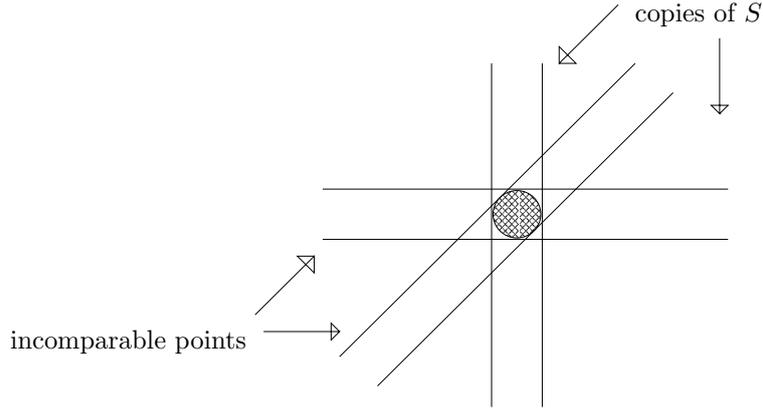


Figure 10: Ensuring no unwanted combinatorial lines.

Inside  $(A^{d_0})^t \times A^{de}$ , define a copy  $S^{(i)}$  of  $S$  (for any  $1 \leq i \leq t$ ), as follows:

$$S_v^{(i)} = (u, u, \dots, u, \underbrace{v}_{i\text{-th coord.}}, u, \dots, u, S_v).$$

Now let  $S \star S_u = \bigcup_{i=1}^t S^{(i)}$ ; thus  $(S \star S_u)_v = \bigcup_{i=1}^t S_v^{(i)}$ . Note that

$$(S \star S_u)_u = (u, u, \dots, u, S_u^e).$$

**Proposition 16** *Let  $S$  be a picture in  $A^d$ , with  $u \in A^{d_0}$ . Write  $S' = S \star S_u$ . Then:*

1. When  $\bigcup S'_v$  is  $k$ -coloured, there is a copy of  $S$  whose  $u$ -th class is monochromatic.
2. If each  $S_v$  is an antichain, and  $S$  is  $\Delta$ -free, then each  $S'_v$  is an antichain, and  $S'$  is  $\Delta$ -free.

**Proof:**

1. By choice of  $e$  and construction of  $S'$ . ✓
2. Clearly, each  $S_v$  is an antichain (even for  $v = u$ , since if  $S_u$  is an antichain then so is  $S_u^e$ ).

Furthermore, the only lines in  $S'$  are lines in  $S^{(i)}$ , for some  $i$ . (There are no lines in  $S_u$ , since it's an antichain).

But  $S^{(i)}$  is  $\Delta$ -free, so a triangle in  $S$  would be of the form shown in Diagram 11, where  $i, j, k$  are not all equal. So some two of  $a, b, c$  belong to  $S'_u$ , which is contrary to the fact that  $S'_u$  is an antichain. ✓ □

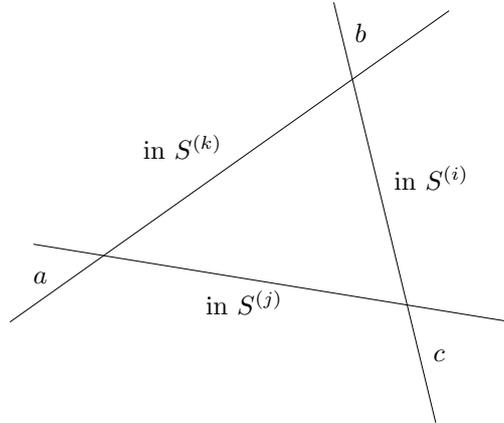


Figure 11: A putative triangle in  $S$ .

**Theorem 17** For all  $k$  and  $n$ , there exists a set  $S \in [n]^d$ , for some  $d$ , such that if  $S$  is  $k$ -coloured there is a monochromatic line, but such that  $S$  is  $\Delta$ -free.

**Proof:** Start with  $S^{(0)}$  and amalgamate over each  $u \in A^{d_0}$  in turn. We obtain a  $\Delta$ -free  $S$  such that if  $S$  is  $k$ -coloured, there is a copy of  $S^{(0)}$  with all edges monochromatic. □

What about larger girth? In fact, our  $S_0$  above doesn't have any 4-cycles. If it did there would be a line in both  $S_u^{(i)}$  and  $S_u^{(j)}$ , which is forbidden. However, there are 6-cycles in it.

But, magically, our Theorem 17 strengthens itself to Theorem 18:

**Theorem 18** *For all  $k, n$  and  $g$ , there exists a set  $S \in [n]^d$ , for some  $d$ , such that if  $S$  is  $k$ -coloured then there exists a monochromatic line, but such that  $S$  has no cycles of lines of length  $\leq g$ .*

**Remark:** Writing  $L(S)$  for the set of lines in  $S$ , this says that  $\chi(L(S)) > k$  and  $g(L(S)) > g$ . So we get a significant strengthening of our Theorem 4.

**Proof:** This is by induction on  $g$ . We follow the proof of Theorem 17, except we replace  $S_u^e$  by a set  $T \subset S_u^{e'}$  such that whenever  $T$  is  $k$ -coloured, we get a monochromatic  $S_u^e$  line, with the girth of the  $S_u$ -lines in  $T$  more than  $g/2$ .

**Note:** This was proved by Rödl, and Prömel and Voigt, and Nešetřil and Rödl.

## 2.2 Other results and open problems

Consider the Finite Sums Theorem: this says that, for all  $n$ , whenever  $\mathbb{N}$  is finitely coloured there is a sequence  $x_1, \dots, x_n$  such that  $\text{FS}(x_1, \dots, x_n)$ , the set of all finite sums of subsets of  $\{x_1, \dots, x_n\}$ , is monochromatic.

There is a *restricted* version: that, for all  $n$ , there is some set  $S \subset \mathbb{N}$  such that whenever  $S$  is  $k$ -coloured, there is a monochromatic  $\text{FS}(x_1, \dots, x_n)$ , but such that  $S$  contains no  $\text{FS}(x_1, \dots, x_{n+1})$ .

There is also a *sparse* version: where for all  $n$  and  $g$ , there is some  $S \subset \mathbb{N}$  such that  $S$  always contains a monochromatic  $\text{FS}(x_1, \dots, x_n)$ , but such that  $S$  contains no cycles of length less than  $g$  of sets  $\text{FS}(y_1, \dots, y_n)$ .

The proof of these is similar to the proof of sparse Hales-Jewett (Theorem 18).

For infinite structures, we have Hindman's Theorem: if  $\mathbb{N}$  is finitely coloured, then there is a sequence  $x_1, x_2, \dots$  with the set of all finite sums monochromatic.

The fact that it's about *all* finite sums makes it hard. If we just wanted  $x_1, x_2, \dots$  monochromatic, that would be a simple application of the pi-

geonhole principle. If we just wanted all  $x_i + x_j$  monochromatic, that would merely need Ramsey's theorem.

Since any set  $\text{FS}(x_1, \dots, x_2)$  properly contains many other such sets, the natural restricted form would be:

**Open problem 2** *Given  $d$ , is there a set  $S \subset \mathbb{N}$  such that:*

1. *When  $S$  is finitely coloured, there is a monochromatic  $\text{FS}_{\leq d}(x_1, x_2, \dots)$  (this means the set of all sums of no more than  $d$  terms), but*
2.  *$S$  contains no  $\text{FS}(y_1, \dots, y_{d+1})$ ?*

Indeed, much weaker statements than this are completely unknown: we can't even prove this for  $d = 2$  – even if we weaken criterion 2 above to “ $S$  contains no  $\text{FS}(y_1, y_2, \dots)$ ”. In other words:

**Open problem 3** *Is there a set  $S \in \mathbb{N}$  such that:*

1. *Whenever  $S$  is finitely coloured, there are  $x_1, x_2, \dots$  such that  $\{x_i | i \in \mathbb{N}\} \cup \{x_i + x_j | i \neq j\}$  is monochromatic.*
2.  *$S$  contains no  $\text{FS}(y_1, y_2, \dots)$ ?*

**Remark:** This has been conjectured true by Nešetřil and Rödl, and conjectured false by Hindman.

To prove it true, we'd need a new proof that when  $\mathbb{N}$  is finitely coloured, there is a monochromatic  $\text{FS}_{\leq 2}(x_1, x_2, \dots)$ : a proof that doesn't just use Hindman's Theorem.

## Appendix - The Hales-Jewett Theorem

We present here a diversion on the Hales-Jewett theorem, since familiarity with it is essential to understanding much of the course.

Van der Waerden's theorem says that, for all  $k$  and  $m$ , whenever  $\mathbb{N}$  is  $k$ -coloured, there exists a monochromatic arithmetic progression of  $m$  terms.

Hales-Jewett is an abstract version of this: let  $X$  be a finite set. A subset  $L$  of  $X^n$  (“the  $n$ -dimensional cube on alphabet  $X$ ”) is called a **line** if there

exists a nonempty set  $I \subset [n]$ , and  $a_i$  for each  $i \notin I$  such that:

$$L = \{x \in X^n : x_i = a_i \quad (\forall i \notin I), \text{ and } x_i = x_j \quad (\forall i, j \in I).\}$$

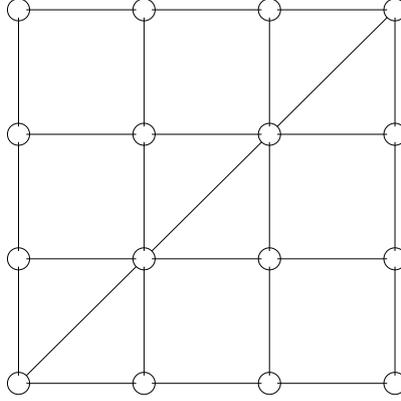


Figure 12: The combinatorial lines in  $[4]^2$ .

$I$  is called the set of **active coordinates** of the line. Note that the definition of a line does not depend on any ordering on the ground set  $X$ . Now we are ready to state the following:

**Theorem 19 (Hales-Jewett)** *For any  $m$  and  $k$ , there exists  $n$  such that whenever  $[m]^n$  is  $k$ -coloured, there exists a monochromatic line.*

**Remarks:**

1. We shall denote the smallest such  $n$  in the statement of the problem as  $\text{HJ}(m, k)$ .
2. Hales-Jewett easily implies Van der Waerden. All we need do is embed a Hales-Jewett cube of sufficiently large dimension linearly into  $\mathbb{N}$  so that the embedding is injective on lines. By Hales-Jewett, there is a monochromatic line, and this corresponds to a monochromatic arithmetic progression.

**Notation:** If  $L$  is a line in  $[m]^n$ , we write  $L^-$  and  $L^+$  for its first and last points (i.e. where the active coordinates are 1 and  $m$  respectively). We say that lines  $L_1, \dots, L_k$  are **focused** at  $f$  if  $L_i^+ = f$  for all  $i$ .

We say they are **colour-focused** (for a given colouring) if, in addition, each  $L_i \setminus \{L_i^+\}$  is monochromatic, with no two the same colour.

**Proof:** (of Theorem 19). The proof is by induction on  $m$ . It is trivial for  $m = 1$ .

So, given  $m > 1$ , we may assume  $\text{HJ}(m - 1, k)$  exists for all  $k$ .

**Claim:** For all  $r \leq k$ , there is an  $n$  such that, when  $[m]^n$  is  $k$ -coloured, then there is either a monochromatic line of  $r$  colour-focused lines.

The result follows immediately from the claim – put  $r = k$  and then look at the focus.

**Proof of claim:** The proof is by induction on  $r$ .

For  $r = 1$  we can just take  $n = \text{HJ}(m - 1, k)$ .

So suppose  $n$  is suitable for  $r$ ; we'll show that  $n + \text{HJ}(m - 1, k^{m^n})$  is suitable for  $r + 1$ . We will write  $n' = \text{HJ}(m - 1, k^{m^n})$ .

Given a  $k$ -colouring of  $[m]^{n+n'}$  with no monochromatic line, identify  $[m]^{n+n'}$  with  $[m]^n \times [m]^{n'}$ . There are  $k^{m^n}$  ways to colour a copy of  $[m]^n$ . So, by our choice of  $n'$ , we have a line  $L$  in  $[m]^{n'}$  (say with active coordinates  $I$ ) such that, for all  $a \in [m]^n$  and  $b, b' \in L \setminus \{L^+\}$  we have  $c(a, b) = c(a, b') = c'(a)$ , say.

Now by definition of  $n$ , there exist  $r$  colour-focused lines for  $c'$ , say  $L_1, \dots, L_r$  with active coordinates  $I_1, \dots, I_r$  respectively, and focus  $f$ . But now let  $L'_i$  be the line through the point  $(L'_i, L^-)$  with active coordinates  $I_i \cup I$ ,  $i = 1, \dots, r$ .

Then  $L'_1, \dots, L'_r$  are colour-focused at  $(f, L^+)$ . What's more, the line through  $(f, L^-)$  with active coordinates  $I$  gives us  $r + 1$  colour-focused lines. Thus our induction is complete – the claim follows.  $\square$

*Notes by James Cranch.*